1 2 3	JOHN ST. CROIX Executive Director SAN FRANCISCO ETHICS COMMISSION 25 Van Ness Avenue, Suite 220 San Francisco, CA 94102 415-252-3100			
4	413-232-3100			
5	BEFORE THE SAN FRANCISCO ETHICS COMMISSION			
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7	In the Matter of ) Ethics Complaint No. 02-140228			
8	John Rahaim, Director,  Planning Department  DECISION & ORDER			
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10	Respondent.			
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12	This is the mitter than the initial and a state Con Francisco Ethics Commission			
13	This is the written decision and order of the San Francisco Ethics Commission			
14	("Commission") in this matter, which is required pursuant to Sections III.B.3 and III.C.2 of Chapter			
15	Three of the Ethics Commission Regulations for Handling Violations of the Sunshine Ordinance			
	("Regulations").			
16	INTRODUCTION			
17	This matter concerns a referral from the Sunshine Ordinance Task Force finding that			
18	Respondent John Rahaim, who is the Director of the Planning Department, willfully violated			
19	Chapter 67 of the San Francisco Administrative Code ("Sunshine Ordinance") sections 67.21(a)			
	and 67.29-7.			
20	On July 28, 2014, the Commission held a public hearing, at which complainant Mica Ringel			
21	represented himself and Jonas Ionin, Secretary to the Planning Commission, appeared on behalf of			
22	Mr. Rahaim. The Commission received oral and documentary evidence at the hearing.			
23	FACTUAL FINDINGS			
	1. In 2013, Mr. Ringel made a series of requests to staff of the Planning Department for			
24	public records related to a proposed development at 480 Potrero Avenue. The Planning Department			
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staff members contacted by Mr. Ringel included Ben Fu, Don Lewis, and Lulu Hwang ("Department Staff").

- 2. Department Staff produced certain public records to Mr. Ringel over 10 days following receipt of his request for those records.
- 3. Mr. Rahaim was not directly responsible for responding to Mr. Ringel's requests for public records. However, the Department Staff who failed to timely produce records in response to Mr. Ringel's requests were supervised by Mr. Rahaim's own direct report.

## LEGAL CONCLUSIONS

- 1. For the Commission to find a willful violation of the Ordinance, it must conclude that, based upon a preponderance of the evidence, a respondent acted or failed to act with the knowledge of such at or failure to act was a violation of the Sunshine Ordinance. (Regulations, Ch. One, § II.U; and Ch. Three, § III.B.2.)
- 2. Sunshine Ordinance section 67.21(a) states that a custodian of a public record shall, without unreasonable delay, permit a public record or any segregable portion of a record) to be inspected and examined by any person and copied.
- 3. Sunshine Ordinance section 67.21(b) further explains that a custodian of a public record must comply with a request for inspection or copy of a public record within ten days following receipt of the request, although some exceptions apply.
- 4. Sunshine Ordinance section 67.29-7(a) states that "[t]he Mayor and all Department Heads shall maintain and preserve in a professional and businesslike manner all documents and correspondence, including but not limited to letters, e-mails, drafts, memorandum, invoices, reports and proposals and shall disclose all such records in accordance with this ordinance."
- 5. The production of certain public records to Mr. Ringel over 10 days following receipt of his request for those records constituted an unreasonable delay and thus is a violation of Sunshine Ordinance section 67.21(a).
- 6. By virtue of the nature of his supervisorial role over Department Staff in this instance, Mr. Rahaim was responsible for the Planning Department's response to the records request and thus Mr. Rahaim violated Sunshine Ordinance section 67.21(a).
- 7. There is not sufficient evidence to indicate that Mr. Rahaim knew that Department staff was failing to produce records without unreasonable delay and thus Mr. Rahaim's violation of Sunshine Ordinance section 67.21(a) was non-willful.

	8.	There is not sufficient evidence to support a finding that there was a violation		
1	Sunshine Ordinance section 67.29-7.			
2		ORDER		
3	1.	Mr. Rahaim is ordered to cease and desist from failing to permit Mr. Ringel to	0	
4	inspect and e	examine public records without unreasonable delay.		
5	2.	Commission Executive Director John St. Croix is ordered to post on the		
	Commission	a's website the Commission's finding that Mr. Rahaim violated the Sunshine Ord	linance.	
6	3.	Executive Director St. Croix is also ordered to issue a warning letter to Mr. Ra		
7	and to inform Director Rahaim's appointing authority of the violation.			
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9	The foregoing is hereby accepted as the final decision and order of the San Francisco Ethics			
10	Commission, effective upon execution below by the Vice-Chairperson.			
11	IT IS SO ORDERED.			
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15	Dated: _ li	ug 8, 2014		
16	,	Paul A. Renne, Vice-Chairperson San Francisco Ethics Commission		
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